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                       UNITED STATES DISTRICT COURT
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            CENTRAL DISTRICT OF CALIFORNIA - WESTERN DIVISION
 3
             HONORABLE JOHN W. HOLCOMB, U.S. DISTRICT JUDGE
 4
   ENTROPIC COMMUNICATIONS, LLC,
 5
                                         ) Case No.
                       Plaintiff,
                                           2:22-cv-07775-JWH-JEM
 6
                                           Related Cases:
                                            2:23-cv-01043-JWH-KES
             VS.
 7
                                            2:23-cv-01049-JWH-KES
    DISH NETWORK CORPORATION, et al.,
                                         ) 2:23-cv-01048-JWH-KES
 8
                                           2:23-cv-01050-JWH-KES
                       Defendants.
                                         ) 2:23-cv-01047-JWH-KES
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12
                   REPORTER'S TRANSCRIPT OF PROCEEDINGS
13
                             MOTION HEARING
                           FRIDAY, JUNE 9, 2023
                                9:05 A.M.
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                          SANTA ANA, CALIFORNIA
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                     DEBBIE HINO-SPAAN, CSR 7953, CRR
                     FEDERAL OFFICIAL COURT REPORTER
24
                    411 WEST 4TH STREET, ROOM 1-053
                          SANTA ANA, CA 92701
25
                           dhinospaan@yahoo.com
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1	APPEARANCES OF COUNSEL:
2	
3	FOR PLAINTIFF:
4	K&L Gates LLP BY: JAMES A. SHIMOTA, ESQ.
5	70 West Madison Street Suite 3100
6 7	Chicago, Illinois 60602 312-807-4299 jim.shimota@klgates.com
8	K&L Gates LLP
9	BY: CHRISTINA N. GOODRICH, ATTORNEY AT LAW 10100 Santa Monica Boulevard
10	8th Floor Los Angeles, California 90067 310-552-5000
11	christina.goodrich@klgates.com
12	FOR DEFENDANT COX COMMUNICATIONS (CV-23-1047-JWH):
13	KILPATRICK TOWNSEND BY: SARAH Y. KAMRAN, ATTORNEY AT LAW
14 15	1801 Century Park East Suite 2300 Los Angeles, California 90067 310-310-7015
16	skamran@kilpatricktownsend.com
17	FOR DEFENDANT COMCAST CORPORATION (CV-23-1048-JWH):
18	WINSTON & STRAWN LLP BY: KRISHNAN PADMANABHAN, ESQ.
19	200 Park Avenue  New York, New York 10166
20	212-294-3564 kpadmanabhan@wiinston.com
21	kpadmanabhangwiinscom.com
22	
23	
24	
25	

1	APPEARANCES OF COUNSEL
2	(Continued:)
3	FOR DEFENDANT DISH NETWORK CORPORATION (CV-22-7775-JWH):
4	PERKINS COIE LLP BY: MATTHEW C. BERNSTEIN, ESQ.
5	1888 Century Park East Suite 1700
6	Los Angeles, California 90067-1721 858-720-5751
7	MBernstein@perkinscoie.com
8	FOR DEFENDANT DISH NETWORK CORPORATION (CV-23-1043-JWH):
9	FISH & RICHARDSON PC BY: CHRISTOPHER S. MARCHESE, ESQ.
10	633 West 5th Street 26th Floor
11	Los Angeles, California 90071 213-533-4240
12	marchese@fr.com
13	
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1	SANTA ANA, CALIFORNIA; FRIDAY, JUNE 9, 2023
2	9:05 A.M.
3	
4	
09:05AM 5	THE COURTROOM DEPUTY: Calling Item Number 1,
6	Entropic Communications vs. DIRECTV, LLC, et al.; Entropic
7	Communications, LLC vs. DISH Network Corporation, et al.;
8	Entropic Communications, LLC vs. Cox Communications, Inc.,
9	et al.; and Entropic Communications, LLC vs. Comcast
09:05AM 10	Corporation, et al. Case Numbers 22-0775-JWH, 23-01043-JWH,
11	23-01047-ЈWH, 23-01048-ЈWH, 23-01049-ЈWH, 23-01050-ЈWH.
12	Counsel, would you please state your appearances,
13	beginning with the plaintiff.
14	MR. SHIMOTA: Jim Shimota appearing on behalf of
09:06AM 15	Plaintiff Entropic.
16	MS. GOODRICH: Good morning, Your Honor. Christina
17	Goodrich, K&L Gates, for plaintiff.
18	THE COURT: All right. Mr. Shimota and
19	Ms. Goodrich, good to see you. Good morning.
09:06AM 20	MR. SHIMOTA: Good to see you, Your Honor.
21	MR. MARCHESE: Good morning, Your Honor. Chris
22	Marchese from Fish & Richardson on behalf of the DISH
23	defendants in the 1043 case.
24	THE COURT: Hold on one second. That was in the
09:06AM 25	MOCA case?

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MR. MARCHESE: Correct, Your Honor.
       1
       2
                     THE COURT: Mr. "Marchese," is that the correct
       3
          pronunciation?
       4
                     MR. MARCHESE: It is correct. Thank you.
      5
                     THE COURT: I will undoubtedly forget it, but
09:06AM
          correct me if I do.
       6
       7
                     MR. MARCHESE: Thank you.
                     MS. KAMRAN: Good morning, Your Honor. Sarah Kamran
       8
          from Kilpatrick Townsend representing the Cox defendants.
09:07AM 10
                     THE COURT: Hold on a second. Ms. Kamran; right?
     11
                     MS. KAMRAN: Yes.
     12
                     THE COURT: K-a-m-r-a-n?
     13
                     MS. KAMRAN: Yes, Your Honor.
     14
                     THE COURT: All right. Good morning, Ms. Kamran.
09:07AM 15
                     MS. KAMRAN: Good morning.
     16
                     MR. BERNSTEIN: Good Morning, Your Honor. Matthew
          Bernstein from Perkins Coie for the DISH defendants in the 7775
     17
     18
          case.
     19
                     THE COURT: Okay. Mr. -- let me find you.
09:07AM 20
          There we go. Mr. Bernstein. Am I pronouncing that properly?
      21
                     MR. BERNSTEIN: Correct.
     22
                     THE COURT: Mr. Bernstein, good morning.
     23
                     MR. PADMANABHAN: Good morning, Your Honor.
     24
          Krishnan Padmanabhan of Winston & Strawn on behalf of Comcast
09:08AM 25
          in the 1048 and 1050 cases.
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THE COURT: Good to see you again. And help me
       1
       2
          again with the pronunciation of your name, please.
       3
                     MR. PADMANABHAN:
                                        Sure. "Padmanabhan."
                     THE COURT: "Padmanabhan"?
       4
                     MR. PADMANABHAN: Perfect.
09:08AM
      5
                     THE COURT: I'll probably botch it, but I'll do my
       6
       7
          best. Good to see you.
                     All right. Counsel, thank you all for being here
       8
                  I'm going to deal with the Local Rule 7.1-1 motion
          today.
09:08AM 10
          momentarily. Let me kind of deal with all of the housekeeping
      11
          issues that are out there first.
      12
                      I set this status conference because last time we
      13
          were all here, I think back on April 21st, we had discussed
          consolidation and the appointment of a special master. And I
      14
09:09AM 15
          was under the impression that counsel were going to get
      16
          together and provide to me proposed orders consolidating the
      17
          MOCA cases and the cable cases and, also, in all the cases, a
      18
          proposed order appointing a special master.
      19
                      So I got the -- when I did not receive those
09:09AM 20
          proposed orders, I set this status conference because I did not
      21
          want that to go by the wayside, those two issues.
      22
                     Now, you have since, in the MOCA cases and the cable
      23
          cases, I believe, filed the proposed order appointing
      24
          Mr. Keyzer -- am I pronouncing his name properly? Okay.
09:10AM 25
          Everybody's nodding -- Mr. Keyzer as the special master. I did
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not see a proposed order in the satellite cases.
      1
       2
                     What's the status, Ms. Goodrich?
                     MS. GOODRICH: Thank you, Your Honor. We need to
       3
       4
          circulate the draft declaration to Mr. Keyzer in that case.
09:10AM
      5
          And I believe we're in agreement on the stipulation itself.
                                                                        So
          once we get the signed declaration from Mr. Keyzer, that --
       6
       7
          we'll submit that, which hopefully will be early next week at
          the latest.
       8
                     THE COURT: All right. So what I'm going to do on
          the special master is wait until I receive that, because if
09:10AM 10
      11
          there's a hitch, I want the same special master in all these
      12
          cases. I think it would be pretty inefficient, for a lot of
          reasons, if we have different special masters in the different
      13
      14
          cases.
09:10AM 15
                      So as soon as I receive that stipulation in the
      16
          satellite cases, I intend to enter those proposed orders.
      17
                     One thing on the form of the proposed order, I think
      18
          I referred you to another case where I appointed a special
          master. There were some special bells and whistles in that
      19
09:11AM 20
          case. I didn't mean that you had to slavishly follow that
      21
          pattern, but I assumed it was acceptable because you submitted
      22
          it to me.
      23
                     Correct, defendants, in the MOCA and cable cases ?
      24
                     MR. MARCHESE: Yes, Your Honor. That's correct for
09:11AM 25
          the 1043 case with DISH.
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1
                     THE COURT: Okay.
       2
                     MR. PADMANABHAN: Correct, Your Honor, for the 1048
       3
          and 1050 cases for Comcast.
                     MS. KAMRAN: Yes, Your Honor, that's correct for the
       4
          1049 and 10--- or -47 cases for Cox.
09:11AM
      5
       6
                     THE COURT: Good enough. Thank you.
       7
                     Now on consolidation, I already consolidated the
          satellite cases. When we talked about this back in April, the
       8
          issue was parties -- I think Entropic wanted to see what the
09:11AM 10
          answers and defenses looked like before putting the bells and
     11
          whistles on a consolidation order.
     12
                     Now some answers have been filed. I've got
          12(b)(6), 12(b)(1) motions from some defendants in the MOCA and
     13
     14
          cable cases. We'll talk about those in more detail in a
09:12AM 15
          minute. But where are we on consolidation?
     16
                     I guess let me hear from Entropic first. This is,
          of course, on the MOCA and cable cases.
     17
     18
                     MS. GOODRICH: Yes, Your Honor. The parties still
     19
          agree on consolidation through Markman. I invite defendants to
09:12AM 20
          weigh in on that, of course. And we had all agreed to discuss
      21
          the bells and whistles of what specifically would be done
     22
          jointly in connection with our Rule 26.
     23
                     And so right now, that is scheduled. We're working
      24
          on conducting the conference of counsel on the 22nd or 23rd of
09:12AM 25
          June. And in connection with that, we will be circulating our
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portion of the joint report, which contains our proposal on the
       1
       2
          consolidation.
                     And we do take Your Honor's comment during the last
       3
          hearing to heart in terms of agreeing, as much as possible, so
       4
09:13AM
      5
          that the consolidation order does not have competing arguments
       6
          in it.
       7
                     THE COURT: Okay. Anybody else care to comment?
          You don't have to.
       8
                     MR. MARCHESE: I will comment, Your Honor. Chris
09:13AM 10
          Marchese from Fish & Richardson for DISH.
      11
                     We are in agreement with what Ms. Goodrich said. We
      12
          do expect to work with them on the bells and whistles in
      13
          connection with the 26(f) meeting, which is coming up here in a
          little bit. And we also expect to agree as much as possible on
      14
09:13AM 15
          issues.
      16
                     MR. PADMANABHAN: Same for Comcast, Your Honor.
      17
                     MS. KAMRAN: Same for Cox, Your Honor.
      18
                     THE COURT: Okay. So what I'm hearing is I should
      19
          just stand by and get the Rule 26(f) reports and, hopefully, a
09:13AM 20
          stipulation for consolidation, and if not, then competing
      21
          proposals and I can pick one. But you prefer that I wait until
      22
          we get to that point for me to consolidate. Concur?
      23
                     MS. GOODRICH: Yes, Your Honor.
                     MR. PADMANABHAN: Yes, Your Honor.
      24
09:14AM 25
                     MS. KAMRAN: Yes, Your Honor.
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1
                     MR. MARCHESE: Yes, Your Honor.
       2
                     THE COURT: All right. Probably hard for the court
       3
          reporter to keep track of all this. But thank you.
       4
                     All right. Let me turn to -- I mentioned the
          12(b)(1) and 12(b)(6) motions. Comcast has filed those in the
09:14AM
      5
       6
          MOCA and cable cases. And then Entropic filed a First Amended
       7
          Complaint. I did not deeply analyze whether the First Amended
          Complaint mooted -- well, I think it necessarily moots them,
       8
          but did it address the issues that Comcast raised in its
09:14AM 10
          12(b)(1) and 12(b)(6) motions, or will they be renewed, in a
     11
          sense?
     12
                     MR. PADMANABHAN: So, Your Honor, we've actually had
          an opportunity to discuss. So we plan to refile our motions.
     13
      14
          We don't think any of the allegations address either the
09:15AM 15
          12(b)(1) or the 12(b)(6), and so we're going to work together
     16
          on that. And we have a meet and confer scheduled for Monday.
     17
          And we'll be responding with the renewed motions.
     18
                     THE COURT: Okav.
     19
                     MS. GOODRICH: Our position, unsurprisingly, is that
09:15AM 20
          our Amended Complaints do address what was raised in the
      21
          12(b)(1) and 12(b)(6), but we are meeting and conferring on
     22
          Monday to go over the basis for the renewed motions.
     23
                     THE COURT: Okay. Well, I'll see how that plays
      24
          out. Since there is a First Amended Complaint, the Cox
09:15AM 25
          entities, they're going to file an Answer to that? Or do you
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1
          know how you're going to respond?
       2
                     MS. KAMRAN: I think that we are still deciding,
       3
          Your Honor.
                     THE COURT: Okay. All right. I was happy to see
       4
          that it was just an answer and not a 12(b) motion. But we'll
09:16AM
      5
       6
          see what happens. Okay. Thank you for that update.
       7
                     So Madam Clerk, a couple of things. The filing of
          the First Amended Complaint in the MOCA cases and the cable
       8
          cases, I think does moot the pending motions to dismiss that
09:16AM 10
          Comcast has filed in those cases, specifically in 23-1048. Our
     11
          docket -- because portions of these motions were filed under
     12
          seal, sometimes they come up as two or more ECF numbers. But
     13
          Madam Clerk, I think ECF numbers -- so I'm looking at 23-1048.
     14
          ECF numbers 43, 51, 55, and 58 and 60, I think they're all
09:17AM 15
          mooted.
     16
                     Mr. Padmanabhan, concur?
     17
                     MR. PADMANABHAN: Your Honor, I wish I could check
     18
          the numbers as quickly as you had laid them out. The numbers
     19
          sound correct to me, but correct, there should be two motions
09:17AM 20
          for each. There's a 12(b) -- or two docket entries for the
      21
          12(b)(6) and 12(b)(1). And so those would all be mooted. And
     22
          we will be renewing the motions in light of the First Amended
     23
          Complaint.
     24
                     THE COURT: So sticking with the 1048 case, for some
09:17AM 25
          reason, we have five motions identified as awaiting resolution.
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1
          I think there probably should be four.
       2
                     MR. PADMANABHAN: Yes, Your Honor. I can respond to
       3
          that. I think there was something that had been -- we had
          marked it confidential, but the table of contents, something
       4
          had been left unredacted. So we fixed that with a new docket
09:18AM
      5
          entry.
       6
       7
                     THE COURT: So there was, like, a corrected --
       8
                     MR. PADMANABHAN: Yeah, exactly.
       9
                     THE COURT: Okay. So Madam Clerk, those five ECF
09:18AM 10
          numbers had been resolved through plaintiff's filing of a First
          Amended Complaint. So our minute order should reflect that.
     11
     12
          Do you need those again?
     13
                     THE COURTROOM DEPUTY: I've got them. Thank you.
     14
                     THE COURT: Okay. And the same is true with respect
09:18AM 15
          to 23-1050. I think there were only four docket numbers -- 40,
     16
          48, 52, and 57 -- that have been resolved, that have been
     17
          mooted by the filing of the First Amended Complaint. So our
     18
          minute order memorializing this hearing should reflect that.
     19
                     Madam Clerk, do you need them again?
09:19AM 20
                     THE COURTROOM DEPUTY: I got it. Thank you.
      21
                     THE COURT: Okay. Now, there are also pending
     22
          stipulations to continue the scheduling conference in the MOCA
     23
          and cable cases, which is presently set for June 30th. And I
      24
          understand -- I forgot who, but somebody was going to be out of
09:19AM 25
          town or something. And for that reason, all the parties wanted
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to continue those scheduling conferences. And that's fine with
       1
       2
          me.
       3
                     Remind me what date you wanted them continued to.
                     MS. GOODRICH: Your Honor, July 21st.
       4
09:20AM
                     MR. PADMANABHAN: That was the date we all ended on,
      5
       6
          based on the conflicts, yes.
       7
                     MR. MARCHESE: Yes, for DISH. That's correct,
          July 21st.
       8
                     THE COURT: Okay. The minute order will also
09:20AM 10
          reflect that the parties' respective -- stipulations to
      11
          continue the respective scheduling conferences are approved,
      12
          and the scheduling conferences in the MOCA and cable cases are
          moved to July 21st -- at 11:00 o'clock? We'll say
      13
      14
          11:00 o'clock for right now. That's when I usually have
09:20AM 15
          scheduling conferences.
      16
                     Now, so because we've now moved the scheduling
      17
          conferences and they're no longer on the 30th, and we've taken
      18
          care of the 12(b)(1) and 12(b)(6) motions, I think what we
      19
          still have on the 30th is a hearing -- let's see. Is it in the
09:21AM 20
          MOCA case to -- filed by DISH --
      21
                     MR. MARCHESE: Yes, Your Honor.
      22
                     THE COURT: -- the 101 motion?
      23
                     MR. MARCHESE: Yes. We -- excuse me. I didn't mean
      24
          to interrupt you.
09:21AM 25
                     THE COURT: No, go ahead.
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MR. MARCHESE: In the 1043 MOCA case with DISH, we
       1
       2
          have one 101 motion pending on two patents. It is currently
       3
          scheduled, based on Your Honor's order a few days ago, pushing
          it to the 30th of June. We would -- we haven't discussed this
       4
09:21AM
      5
          yet with the Entropic team, but we would be willing to hold
       6
          that altogether on the 21st with the scheduling conference.
       7
                     THE COURT:
                                  That's where I was going.
       8
                     Ms. Goodrich? Is it a problem?
                     MS. GOODRICH: No problem here, Your Honor.
09:22AM 10
                     THE COURT: Okay. So the minute order will also
      11
          continue the hearing on DISH's 101 motion from June 30th to
      12
          July 21st at 11:00 a.m.
      13
                     Now, I had some -- the reason we're not having that
          hearing today is because I wanted some additional briefing on a
      14
09:22AM 15
          proposed claim construction from Entropic and then a response.
          The briefing on that -- that claim construction briefing, that
      16
      17
          supplemental briefing, is a little tight because I wanted to
      18
          fit this in -- fit the hearing in by the 30th.
      19
                     Now that we're relaxing the schedule a bit, in terms
09:22AM 20
          of the hearing, do you want to relax also the briefing?
      21
                     MS. GOODRICH: I'm all for relaxing the briefing,
      22
          Your Honor.
      23
                     MR. MARCHESE: We do not object, Your Honor.
      24
                     THE COURT: Okay. I had set Entropic's supplemental
09:23AM 25
          brief to be filed on June 16th and DISH's responsive brief for
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June 30th. So since we have July 21st now as the hearing date,
       1
       2
          if I give myself two weeks to review all that supplemental
          briefing, that would mean -- how about the responsive brief
       3
       4
          from DISH due July 7th and Entropic's brief due June 30th? How
          does that work?
09:24AM
      5
       6
                     MR. MARCHESE: Your Honor, if I may, we would
       7
          receive their brief over the holiday weekend. Wonder if we can
          have a little bit more time for our responsive brief.
       8
                     THE COURT: You've got the 4th of July issue.
09:24AM 10
                     MR. MARCHESE: Correct. I think if they file on the
      11
          30th, then the 4th is falling on that Tuesday.
      12
                     THE COURT: Right. Well, what if we do --
      13
                     Ms. Goodrich, how about the 23rd?
      14
                     MS. GOODRICH: That works, Your Honor.
09:24AM 15
                     THE COURT: How about the 26th? I'll give you the
      16
          weekend.
      17
                     MS. GOODRICH: I would appreciate that.
      18
                     THE COURT: Okay. So Entropic's supplemental brief
          pertaining to the -- this 101 motion, which is ECF 50 in the
      19
09:24AM 20
          1043 case, one of the MOCA cases, Entropic's supplemental brief
      21
          is -- the deadline is continued to June 26th, and DISH's
      22
          responsive brief, the deadline is continued to July 7th.
      23
                     Okay with everybody?
      24
                     MS. GOODRICH: Thank you, Your Honor.
09:25AM 25
                     MR. MARCHESE: Thank you, Your Honor.
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THE COURT: And I think I said it, but the hearing
       1
       2
          is continued to July 21st.
       3
                     All right. We still have the technology tutorial in
          the satellite cases coming up on Tuesday, June 27th; correct?
       4
09:25AM
      5
                     MR. SHIMOTA: That's correct, Your Honor.
                     THE COURT: I'm looking forward to that. Seriously.
       6
       7
          I'm not being facetious.
                     MR. SHIMOTA: We are as well, Your Honor.
       8
       9
                     THE COURT: All right. So we now have nothing set
09:26AM 10
          for the 30th. We have the technology tutorial on June 27th, as
     11
          I just said. Claim construction hearing in the satellite
     12
          cases, July 11th. And scheduling conferences and hearings on
          various motions set for July 21st.
     13
                     Everybody concur? You can just nod.
     14
09:26AM 15
                      (No audible response.)
     16
                     THE COURT: Okay. Everybody concurs.
     17
                     And on August 25th, we have the hearing in the MOCA
     18
          cases on -- let's see. I think that's DISH's motion to dismiss
     19
          the case for improper venue?
09:26AM 20
                     MR. MARCHESE: Yes, Your Honor. Three of the DISH
      21
          defendants have filed for improper venue, and the hearing is on
          the 25th.
     22
     23
                     THE COURT: Of August?
     24
                     MR. MARCHESE: Of August, correct.
09:27AM 25
                     THE COURT: Okay. All right. That's everything
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that I have sort of housekeepingwise on all of these motions,
       1
       2
          except, of course, for the hearing on the -- DISH's motion to
          compel compliance in the satellite cases.
       3
                     What else, before I turn to that motion?
       4
09:27AM
                     MS. GOODRICH: Nothing from Entropic, Your Honor.
      5
                     MR. MARCHESE: Nothing from DISH in the 1043 case,
       6
       7
          Your Honor.
                     MS. KAMRAN: Nothing from Cox, Your Honor.
       8
       9
                     MR. PADMANABHAN: Your Honor, nothing from Comcast
09:27AM 10
          for the Court to decide, but hearing the scheduling discussion,
      11
          we may discuss offline. Right now we're scheduled to respond
      12
          to their First Amended Complaint on the 19th. And we'll meet
      13
          and confer on Monday, but we may want to discuss scheduling on
          our briefing, given the July 4th holiday, similarly, for
      14
09:28AM 15
          Comcast in the 12(b)(1) and 12(b)(6).
      16
                      THE COURT: It looks like, if you file on time, you
          could make the July 21st hearing date.
      17
      18
                     MR. PADMANABHAN:
                                       That was our intention presently,
      19
          if that's okay with Your Honor.
09:28AM 20
                     THE COURT:
                                  That seems like a good thing for me, in
      21
          the sense that I'll have everything on the same day. And
      22
          perhaps for you as well, because you all -- you travel here on
      23
          the same day, get everything accomplished all at once.
      2.4
                     MR. PADMANABHAN: That sounds great, Your Honor.
09:28AM 25
                     THE COURT: So I don't know if you want to respond,
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1
          Ms. Goodrich. You don't have to.
       2
                     MS. GOODRICH: I would just like to see the motion
          first. There was concern that there may be a need for
       3
          discovery on the 12(b)(1). And so until we see the motion and
       4
09:28AM
      5
          whether it's changed substantially from the prior motion,
       6
          whether we would ask for additional time, that's all.
       7
                     THE COURT: Okay. Well, I'll leave it to you. Meet
          and confer. And in a perfect world, I'd like to have the
       8
          hearing on the 21st. If that doesn't work, if you want to file
          a stipulation to do something else, file it and I'll consider
09:29AM 10
     11
          it.
     12
                     MR. PADMANABHAN: No, Your Honor. We appreciate
          quidance like that. So that's great.
     13
     14
                     THE COURT: Okay.
09:29AM 15
                     MR. PADMANABHAN: We'll be ready to go on the 21st.
     16
          Thank you.
     17
                     THE COURT: Okay. So I think that takes care of all
     18
          of the so-called housekeeping issues on scheduling with all the
          cases. And I think all I have left is argument on the motion
     19
09:29AM 20
          to compel compliance in the satellite cases.
      21
                     Counsel, you received my tentative; correct?
     22
                     MR. SHIMOTA: Yes, I did, Your Honor.
     23
                     MR. BERNSTEIN: Yes, Your Honor.
     24
                     THE COURT: All right. So I'll tell you what I
09:29AM 25
          always tell parties when I have a tentative, which is true,
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which is it's truly a tentative. It's a little bit rough, but
      1
       2
          I wanted to get it to you so you could see what I was thinking.
          In fact, you can see that it was written as if I was going to
       3
          issue it without a hearing. I didn't. I forgot to take out
       4
09:30AM
          that line. But anyway, it got written up, and I decided no,
      5
          I'd really rather hear from the parties, have the hearing on
       6
       7
          this as opposed to just issue this.
                     So I'm eager to hear from you, how it's incorrect.
       8
          Feel free to push back, please. I want to get this right.
09:30AM 10
                     So why don't I hear from -- why don't I hear from
     11
          DISH first, since I don't think you're getting in the tentative
     12
          precisely what you wanted; correct?
     13
                     MR. BERNSTEIN: Not precisely. We're almost there,
          Your Honor, but not completely.
     14
                     THE COURT: Okay. My mind is open. Tell me what
09:30AM 15
          you'd like to tell me, and feel free to push back on this
     16
          tentative.
     17
     18
                     MR. BERNSTEIN: Thank you, Your Honor. Matthew
          Bernstein for the DISH defendants.
     19
09:31AM 20
                     So before I start, I'm going to be discussing some
          information that Entropic has deemed confidential, "attorneys'
      21
          eyes only." We don't believe it is. But for purposes of right
     22
     23
          now, I think we're all good, but I don't know who -- I don't
     2.4
          know who this guy is. So...
09:31AM 25
                     THE COURT: That's -- we have one quest in the
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courtroom, Mr. Stein, who, as far as I know, is not involved in
       1
       2
          any of these cases or parties. He's a local lawyer, perhaps
          just interested in this patent case.
       3
       4
                     MR. STEIN: Just in this issue, but happy to leave
      5
          if that helps things.
09:31AM
                     THE COURT: Does anybody feel the need to exclude
       6
       7
          Mr. Stein?
                     MR. SHIMOTA: Your Honor, I mean, for present
       8
          purposes, to the extent that they're going to disclose
09:32AM 10
          information which has been designated by third a party as
      11
          outside "counsel's eyes only," I --
      12
                     THE COURT: So that's a "yes."
                     MR. SHIMOTA: That's a "yes," Your Honor. I don't
      13
          know exactly what Mr. Bernstein is going to argue, but --
      14
09:32AM 15
                     MR. BERNSTEIN: I think "yes" is the right answer.
      16
                     THE COURT: Okay. Mr. Stein, I'm very sorry. It's
          wonderful to see you, as always.
      17
      18
                     THE WITNESS: Delightful to be here.
                     Good luck to you all.
      19
09:32AM 20
                      (Mr. Stein exits the courtroom.)
      21
                     MR. BERNSTEIN: Thank you, Your Honor.
      22
                      So I want to start by just going through a few of
      23
          the basic facts because I think it underlies the two issues.
      24
          And they aren't really major issues. And one of them, I think,
          is just a point of clarification.
09:32AM 25
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1 But I want to start with the language of 7.1-1 2 itself. Because I think -- through this briefing, I think maybe everyone at one point or another has lost sight of really 3 the basic, simple language that says if you have a pecuniary 4 09:33AM 5 interest in the outcome of this litigation, whether you're a 6 person, individual, entity, you need to be disclosed in this 7 7.1-1 disclosure. It's very straightforward, from our standpoint, the clear language, plain language of the 8 requirement. 09:33AM 10 And I think that's important because Entropic chose 11 to be in this court, Your Honor. They originally filed in the 12 Eastern District of Texas. We, DISH, moved to transfer to 13 Colorado. They said, "No, no, Colorado is no good." And 14 ultimately what Entropic said was, "Let's go to the Central 09:33AM 15 District of California." They picked the Central District of 16 California, knowing that the Central District of California has 17 this 7.1-1 requirement to identify anybody who has a pecuniary 18 interest. 19 So that's the first point, that they chose to be 09:33AM 20 here, Your Honor, and they chose to comply with the language of 21 7.1-1. 22 Second, Your Honor, I want to walk through the 23 ownership of the plaintiff. Entropic Communications, that's 24 the plaintiff. It's owned 100 percent by a shell company, Entropic Holdings. It's a shell company. That's all it does. 09:34AM 25

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1
          It's a vehicle created by Fortress, or whoever, to ultimately
       2
          deliver any judgment assets to the 11 companies who actually
       3
          own Entropic Holdings. There's 11 member companies that own
       4
          Entropic Holdings.
                                  And you know the identity of those 11?
09:34AM
      5
                      THE COURT:
       6
                     MR. BERNSTEIN: So they have produced in the
       7
          litigation, it's the LLC agreement of Entropic Holdings.
          it lists those 11 companies, the address of those 11 companies,
       8
          which are all the same, Fortress in New York. And then it
09:34AM 10
          identifies the percentage of ownership, which is each
      11
          individual company owns between less than 1 percent up to
      12
          50 percent. In fact, two of these companies, two of these
          member companies, actually own over 80 percent of Entropic
      13
          Holdings, which is a shell company.
      14
09:35AM 15
                      So from our view they, for all intents and purposes,
      16
          own over 80 percent of the plaintiff in this case. Indirectly,
      17
          but they do.
      18
                     And that agreement, Your Honor, also sets forth that
      19
          any funds that are paid, a settlement, a judgment, it's paid to
09:35AM 20
          these 11 member companies. There's other things involved, but
      21
          ultimately these member companies, the 11 owners of the shell
      22
          company, are paid based on their percentage of ownership of the
      23
          shell company. So it --
      24
                     THE COURT: Say that last thing again.
09:35AM 25
                     MR. BERNSTEIN: Yes. So, for example, one of
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1
          these -- one of the owners, the members of Entropic Holdings,
       2
          the company -- one of the companies that owns Entropic Holdings
          has a 50 percent ownership in Entropic Holdings. When there's
       3
          a payout, some of it will go to MaxLinear, that's unrelated.
       4
09:36AM
          But the payment that goes to the plaintiff goes through the
      5
          shell company, and then the member, the owner of the shell
       6
       7
          company that owns 50 percent, gets paid based on that
          50 percent percentage.
       8
                     And so the -- from our standpoint, the Rule 7.1-1 is
09:36AM 10
          specifically, explicitly set to identify this company -- all 11
          of these companies should be identified. And it seems from
      11
      12
          your tentative that they are going to have to identify some of
      13
          them, but not all of them.
                      THE COURT: So from my tentative, assuming what
      14
09:36AM 15
          you've said is true about percentage ownership, Entropic, the
      16
          plaintiff, would have to identify two of the private investment
      17
          funds, the one that owns 50 percent, you say, and the one that
      18
          owns, I assume, roughly 30 percent, because you said two of
      19
          them together are over 80 percent.
09:37AM 20
                     And then I assume that the other nine -- each of the
      21
          other nine holds less than 10 percent ownership interest in
      22
          Entropic Holdings, LLC; correct?
      23
                     MR. BERNSTEIN: That's correct. That's our
      24
          understanding of the documents.
09:37AM 25
                     THE COURT: So bottom line, there would be two
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1
          investment funds identified?
       2
                     MR. BERNSTEIN: Correct. Although I want to take a
       3
          little issue with this term "investment fund" because this is a
          term that's used in the declaration of the guy from Entropic,
       4
          Boris Teksler. And it's a term that's been used even by some
09:37AM
      5
          other courts. But I'm not exactly sure where that come --
       6
       7
          where that came from and, actually, the evidentiary support in
          the record that these actually are investment funds, or exactly
       8
          what that means to be an investment fund.
09:38AM 10
                     THE COURT: Let me ask this question. You know the
     11
          identity of these 11 entities; correct?
     12
                     MR. BERNSTEIN: The Court does not. I do,
          Your Honor, Yes.
     13
                     THE COURT: So what is the nature of those 11
     14
09:38AM 15
          entities? Are they, themselves, LLCs? Are they corporations?
     16
          Are they limited partnerships? Are they individuals?
     17
                     MR. BERNSTEIN: They are, I believe, LLCs and LPs, a
     18
          combination of those two corporate -- but exactly what they're
     19
          doing --
09:38AM 20
                     THE COURT: So I want to hear that, but let me dig
      21
          down a little bit deeper. The so-called investment fund that
     22
          owns -- that is a 50 percent member of Entropic Holdings, LLC,
     23
          what is the nature of that entity?
     24
                     MR. BERNSTEIN: Let me check, Your Honor. I believe
          it's an LLC, but let me -- it's an LP, limited partnership.
09:38AM 25
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THE COURT: So under the order in my tentative --
       1
       2
          like I said, it's an interesting question. It's a limited
       3
          partnership.
       4
                      Is not Entropic, under the tentative order,
          obligated to identify individuals or entities that own
09:39AM
      5
       6
          10 percent or more of that limited partnership?
       7
                     MR. BERNSTEIN: Yeah, I mean -- I believe the order
       8
          is clear that they would have to identify this entity and the
          other entity that owns 33 percent of the shell company,
09:39AM 10
          Entropic Holdings, yes.
      11
                      THE COURT: The entity that owns 33 percent of
      12
          Entropic Holdings, what's the nature of that entity?
      13
                     MR. BERNSTEIN: So if you're just asking me the
      14
          corporate --
09:40AM 15
                     THE COURT: Yeah.
      16
                     MR. BERNSTEIN: -- LLC?
      17
                     What they do, anything else, what they -- we don't
      18
          have that information. We just have this list, Your Honor.
      19
                      THE COURT: And do you know who -- who or what is a
09:40AM 20
          10 percent or more member of that 33 percent LLC?
      21
                     MR. BERNSTEIN:
                                      That's what we're asking from you,
      22
          Your Honor. We don't think your tentative -- we think your --
      23
          in the body of the tentative, you actually recognized this
      24
          issue, because that's what I think the Intel/VLSI Court in the
09:40AM 25
          Northern District of California, they -- there's a statement, I
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think it's in the top of page 6 of your tentative order, where 1 2 there was a statement from that Northern District of California decision where the plaintiffs had, under oath or declaration, 3 said there were no such individuals or entities that actually 4 09:41AM 5 own more than 10 percent of the investment funds. So we think -- and this is one of the issues I 6 7 wanted to clarify. We think that that's -- if we're going to follow Intel in that Northern District case, we think that's 8 correct, but we think your actual order, your disposition, 09:41AM 10 should say exactly that. Your disposition order says just 11 individuals who own 10 percent or more of the shell company, 12 Entropic Holdings. It's not covering both individuals and 13 entities, and it's not covering the actual investment funds. And so it's too narrow, the actual Point 2 in your 14 09:41AM 15 order. And we think that that should be expanded to cover any 16 individual or entity that owns 10 percent or more of any one of 17 these investment funds -- I'll use that word -- or any 18 combination of the investment funds. 19 THE COURT: So you covered one of my questions, the 09:42AM 20 sort of mathematical one. And that is -- so take, for example, 21 the -- I'll call it the 50 percent owner, the limited 22 partnership that owns 50 percent of Entropic Holdings, LLC.

the -- I'll call it the 50 percent owner, the limited

partnership that owns 50 percent of Entropic Holdings, LLC.

You would advocate that if we go with a 10 percent rule, any

person or entity that owns more than 10 percent of that limited

partnership should be identified, as opposed to if we're

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1
          talking about 10 percent of the overall plaintiff,
       2
          mathematically, the threshold would then be 20 percent or more
       3
          of that 50 percent owner.
       4
                     Do you understand what I'm saying?
09:42AM
                     MR. BERNSTEIN: I do. And that's exactly what we're
      5
          saying. Any --
       6
       7
                     THE COURT: And you want it to go 10 percent,
          10 percent, 10 percent?
       8
                     MR. BERNSTEIN: Correct, Your Honor.
09:42AM 10
                      THE COURT: So if -- I'm making this up. If there
      11
          was a 10 percent owner of Entropic Holdings, LLC, and some
      12
          individual or entity owned 10 percent of that 10 percent owner,
          you want them identified. So they're essentially a 1 percent
      13
          holder. And in fact, you want 10 percent holders of that
      14
09:43AM 15
          1 percent holder identified; is that correct?
      16
                     MR. BERNSTEIN: That -- I wouldn't characterize it
      17
          the way that you are, but that is effectively what we're
      18
          saying. From our standpoint, Entropic Holdings, it is just a
          shell company. And so, really, what we should be looking at
      19
          are these individual -- 11 individual owners.
09:43AM 20
      21
                     THE COURT: So you're saying 10 percent is
      22
          arbitrary. Let's get the -- let's get the list of persons and
      23
          entities, bottom line, who have a pecuniary interest in the
      2.4
          outcome of this case, however much it may be.
09:43AM 25
                     MR. BERNSTEIN: So that is actually what the rule
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1
          says, 7.1-1. This 10 percent --
       2
                     THE COURT: So that's my next question, is I know
       3
          the Federal Rules of Civil Procedure says 10 percent in
          Rule 7.1. Where does that -- historically, where does that
       4
09:44AM
      5
          10 percent come from? Is there some case or what -- why the
       6
          magical 10 percent?
       7
                     MR. BERNSTEIN: I actually -- I don't know off the
          top of my head where that 10 percent came from. I think what's
       8
          significant here is that the -- I think the 7.1.1 or the
09:44AM 10
          legislative history says that Courts can have a more strict
     11
          requirement or more robust identification of interested parties
     12
          if they want to. And this Court has chosen to not -- it's not
     13
          just 10 percent, it's anyone that has a pecuniary interest.
     14
                     But if we're --
                     THE COURT: When you say "this Court," you're
09:44AM 15
     16
          talking about Local Rule 7.1-1?
     17
                     MR. BERNSTEIN: Correct, Your Honor.
     18
                                 Sorry to interrupt. Go ahead.
                     THE COURT:
     19
                     MR. BERNSTEIN: Yeah, no. I mean, ultimately, this
09:45AM 20
          comes down to what you're comfortable with, in the context of
      21
          the local rule. I mean, we -- this is -- we're not at the
     22
          recusal stage. This isn't a motion for recusal. This is just
     23
          to get you the information so you're comfortable, so we're
      24
          comfortable, really so everyone is comfortable, that there's no
09:45AM 25
          bias, there's no appearance of bias. There's nothing else.
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And all we're asking for right now is for there to 1 2 be an identification of the actual entities that have an interest in this litigation, a financial pecuniary interest in 3 this litigation. And the actual entities that do are -- it's 4 09:45AM 5 not the holding company, it's not the plaintiff, it's these 11 6 individuals. They're the ones who are going to get the actual 7 funds from the judgment, from the litigation. They're the ones who are going to spend it. These are the 11 that are actually 8 critical. 09:46AM 10 And to the extent you're going to apply a 10 percent 11 rule, it should be applied to these 10 percent -- to these 11 12 companies. Because they are the owners of, effectively -they're the owners of Entropic Holdings. They're effectively 13 the indirect owners of the plaintiff, Entropic Communications. 14 09:46AM 15 THE COURT: So for recusal purposes -- taking a step 16 back and putting this case aside, in general, what -- it's 17 publicly traded entities that are a big concern. Perhaps not 18 the only concern, but the biggest concern; right? 19 There was a big scandal, if I can call it that, a 09:46AM 20 few years ago where there were a number of district judges who, 21 turned out, they or family -- close family members owned stock, 22 sometimes very little stock, in companies that were litigants, 23 that were parties in cases appearing -- cases over which those 24 judges presided; correct? MR. BERNSTEIN: I remember, Your Honor. 09:47AM 25

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THE COURT: Now the reason the disclosure rule
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       2
          exists, on its face, is to enable the Court to evaluate
       3
          possible disqualification. Shouldn't the disclosure -- so
          you've got this 10 percent threshold that's built into the
       4
          federal rules. You've noted there's no 10 percent set forth in
09:47AM
      5
       6
          the local rule. But where I'm going with this is, isn't the
       7
          concern publicly traded companies?
                      I mean, if there's some obscure limited partnership
       8
          that nobody's ever heard of because it's kind of secret and you
09:48AM 10
          have to know the special handshake to become a member of it --
      11
          I'm not saying that's this case, but -- and that's the funder
      12
          and holds a pecuniary interest in the outcome of some case, why
      13
          does the judge care?
      14
                     Again, if it's not an entity that the judge or the
          judge's family has any affiliation with, why does that need to
09:48AM 15
      16
          be publicly disclosed?
      17
                     MR. BERNSTEIN: So I agree that the concern is more,
      18
          and maybe much more, when we're talking about a public company.
      19
          But, Your Honor, we don't know anything about these 11
09:48AM 20
          entities. What if a public company actually owned 10 percent
      21
          or more of one of these companies? I mean, we have not seen --
      22
          they have not disclosed who actually owns these companies.
      23
                     Or what -- what if it's -- this is not maybe
      24
          specifically an issue, but what if your next-door neighbor,
09:49AM 25
          what if your good friend was the owner -- 25 percent owner of
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one of these companies?

09:50AM 25

09:50AM 20

09:50AM 15

09:49AM 10

09:49AM

The point is to provide you with the list, so you can review this and you can make a decision. And it very well might be the case, Your Honor, that you look at all this stuff and you're, like, "I have nothing to do with this. I don't know any of this. I don't own any stock. My family doesn't own any stock." That might be the result.

But we think the purpose of 7.1-1 is to give you the information so you can make that decision. So in six months or a year, there's some discovery taken and it turns out that, no, look, there is someone here, and effectively all decisions you have made in the case before then are going to be called into question. It's better to deal with this now with the disclosure required by Local Rule 7.1-1.

And I do -- Your Honor, you jokingly talked about the secret handshake and stuff like that. And it was a good joke. I chuckled here. But it's kind of -- it's kind of one of the overarching issues here, is that Fortress -- Fortress is involved in this whole process somehow. Nobody knows exactly what they're doing, because those guys are smart. They're clever. They set up shell companies. They create all these LLCs and LLPs to hide who is actually bringing these litigations.

THE COURT: So why does that matter? It matters -- I can answer -- let me -- it's kind of a rhetorical question,

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1
          at least in part.
       2
                      It matters, in part, because of recusal purposes.
       3
          If there's some company that I have stock in who is involved in
          the Fortress group of entities, yes, that's interesting for
       4
09:51AM
      5
          recusal purposes. It has to be considered. So that's one
       6
          reason that it matters; right?
       7
                      I think you've identified another reason that
          matters is for jury selection purposes. We need to know if one
       8
          of the prospective jurors has a pecuniary interest in the case.
09:51AM 10
          He or she should not sit as a juror.
      11
                     MR. BERNSTEIN: Same for the special master,
      12
          Your Honor.
                     THE COURT: Okay. But where do we draw the line?
      13
                                                                         Ι
          think your -- in your perfect world, I would issue an order
      14
09:51AM 15
          saying, "Plaintiff, identify every person and entity that has a
      16
          pecuniary interest in the outcome of this case."
      17
                     Well, whether it's through an LLC, LLP, the person
      18
          individually -- what if it's a corporation? What if it's a
      19
          nonpublicly traded corporation? Do you want to know the owners
09:52AM 20
          of that corporation?
      21
                     MR. BERNSTEIN: So, Your Honor, that's a great
      22
                    And I think in an ideal world, yes, I'm sure my
      23
          client would love to go all the way, no limitation at all.
      24
          I think we can actually use the 10 percent number, and we just
09:52AM 25
          apply it to the actual --
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```
THE COURT: So 10 percent of the plaintiff or
       1
       2
          10 percent --
       3
                     MR. BERNSTEIN: No. 10 percent -- from our view,
          the actual owners of the -- you know, this -- the owners of the
       4
09:52AM
      5
          plaintiff, the people who are going to receive the pecuniary
       6
          interest are the 11 members. And so Entropic should have to
       7
          identify any individual or entity that owns 10 percent of any
          one of those 11 companies. Or if they own, like, 5 percent
       8
          here or 6 percent of another one, if in total it reaches more
09:53AM 10
          than 10 percent, they also should be identified.
      11
                     THE COURT: And that's for recusal purposes?
      12
                     MR. BERNSTEIN: That is for recusal purposes. I
      13
          mean, it is primarily for recusal purposes. There is also this
      14
          overarching transparency in your courtroom and everyone else's
09:53AM 15
          courtroom, but this is -- as you pointed out, Local Rule 7.1-1
      16
          is a rule that is meant to deal with recusal. And we think you
      17
          need the information that the rule requires. That's all we're
      18
          actually asking for.
      19
                     We're actually cutting it back a little bit because
09:53AM 20
          we would -- in light of your tentative and the Northern
      21
          District of California VLSI case, we'd be okay with that.
      22
          percent of the members, 10 percent -- anyone or any entity that
      23
          owns 10 percent or more of one of these members -- or, you
      24
          know, they own 10 percent of one or more of these members, they
09:54AM 25
          have to be identified.
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I think that's actually what the Court in the
       1
       2
          Northern District of California VLSI case was suggesting.
       3
          That's the top of page 6 of your tentative.
                      THE COURT: Okay. Anything else?
       4
                     MR. BERNSTEIN: Yes. So you actually, through that
09:54AM
      5
       6
          discussion, got rid of one -- the point of clarification, which
       7
          is Point 2 in your proposed order.
                      The other thing is this -- you cited in the
       8
          tentative to this GoTV Streaming v Netflix case to, I think,
09:54AM 10
          narrow a little bit what was required to be disclosed. And
      11
          respectfully, the DISH defendants disagree that that case
      12
          really is on point.
      13
                     THE COURT: Judge Kewalramani was dealing with it in
          a discovery context, and one of the pieces that he looked at
      14
09:55AM 15
          was Local Rule 7.1-1.
      16
                     MR. BERNSTEIN: So -- correct. So the discovery
      17
          dispute, Your Honor, actually related to whether work
      18
          product -- attorney work product related to these litigation
      19
          funders had to be produced. So that's different from what's at
          issue here.
09:55AM 20
      21
                      But the -- what's at issue here is the
      22
          identification of entities or individuals. And in the Netflix
      23
          case, in a supplemental response to an interrogatory, or second
      24
          or fifth response to a supplemental interrogatory, the
09:55AM 25
          plaintiff actually identified the litigation funders.
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1 So those actual litigation funders were identified to at least the defendant. So that's different here, where 2 3 we're fighting over identification of relevant entities. 4 But I think more importantly, the Netflix case 09:56AM 5 related to litigation funders. Like, those are -- I'm sure 6 from private practice, you remember those are entities where 7 you want to, you know, file a case or pursue a litigation, you don't have any money. You go find these people and they say, 8 "Yeah, here's \$2 million. We want a 20 percent cut of this." 09:56AM 10 That's not what these 11 companies are that own 11 Entropic Holdings. They're actual owners of Entropic Holdings that ultimately get, you know, paid based on the results of the 12 13 litigation. And so I think that's a major distinction. We're 14 talking about, at least indirectly, who owns the plaintiff in 09:56AM 15 the context of our case, not litigation funders. We're not 16 talking about random third parties who only have a little cut 17 of the litigation. 18 So are you talking about champerty? THE COURT: 19 MR. BERNSTEIN: Yes. 09:57AM 20 THE COURT: So champerty used to be forbidden, but 21 there are a bunch of exceptions. One of the, I think, earliest 22 exceptions arose from counsel taking a case on a contingency. 23 That technically, I think, violates champerty rules, historic 24 champerty rules. But that was ultimately permitted for policy 09:57AM 25 reasons.

But I think a big distinction was that counsel --1 2 counsel had to be acting in the best interest of the client. And it was the client's decision whether to continue with the 3 litigation, whether to settle, how much to settle for. That 4 09:57AM 5 was strictly a client decision. And I think for a policy reason, that's why American courts -- jurisdictions permitted 6 7 this violation of historical champerty rules. Now, in the litigation funding context, a big issue 8 that arises is who controls the litigation. And is that where 09:58AM 10 you're going, that you think that these 11 -- let's take it with respect to this case. Your concern is these 11 investment 11 12 funds, or maybe Fortress itself or the individuals who control 13 Fortress, actually control -- are making decisions in this case 14 and you think that you're entitled to know who that is? 09:58AM 15 MR. BERNSTEIN: Yes. That's part of it for sure, 16 Your Honor. We don't know. Nobody knows. That's part of the 17 web that Fortress casts, is that who's actually ultimately 18 making decisions? 19 I mean, there's a declaration from Mr. Teksler that 09:58AM 20 says, "Yeah, we're in charge of this." But the reality is, is 21 they don't -- those individuals, the company, the plaintiff --22 the money, the judgment, the settlement amounts, it's going 23 elsewhere. And so I think a reasonable conclusion one could 24 make is that other people are making the decisions because 09:59AM 25 they're the beneficiary of everything that happens.

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                      THE COURT: Okay. Fair enough. And so what?
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          there's a Mr. Smith or Ms. Jones or whatever who's making these
       3
          decisions completely unknown to any defendant. So what?
                     MR. BERNSTEIN: Yeah. So this is -- in the -- this
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          was really -- my point was really just to distinguish between a
09:59AM
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          third-party litigation funder that was at issue -- I mean, it's
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          just a different ball game, from my standpoint, to be something
          like that as opposed to a company -- the actual owners -- the
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          owners of the holding company, the shell holding company, and
10:00AM 10
          the owners of, indirectly, the plaintiff.
      11
                      THE COURT: So are you making the distinction
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          between, like you say, a litigation funder who enjoys the
          upside, if there is one, but does not control the litigation
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      14
          versus a litigation funder who does control the litigation
10:00AM 15
          who's calling the shots?
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                     MR. BERNSTEIN: I mean, I think that would be a
          distinction. But I think there's also another distinction as
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      18
          to whether a party that actually owns, even indirectly, a
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          litigant should be disclosed under the local rule, especially
10:00AM 20
          when that owner has, you know, significant -- they get the
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          benefit of the payout to the judgment to settlement.
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                      I mean, I think to me, at least from my standpoint,
          actually being an owner of the plaintiff, indirectly or
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      24
          directly, is more significant than just putting in some money
10:01AM 25
          into the litigation. I think there should be more obligations
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          on the part of someone who actually owns a litigant. And I
       2
          think -- I can't -- I can't cite you law exactly on that point,
          but I think that is part of our process, that there should be
       3
          some transparency in who's actually bringing a litigation.
       4
10:01AM
                     THE COURT: And there should be because why? It
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       6
          doesn't -- I don't think it affects my recusal process.
       7
                     MR. BERNSTEIN: I think all of us, the U.S. judicial
          system benefits from transparency and openness in who the
       8
          litigants actually are, especially plaintiffs. If someone
10:01AM 10
          wants to bring a litigation case, great. I mean, say who you
      11
                Say who you really are.
      12
                     THE COURT: But how far does that go? I mean, say
          in a case a litigant is a nonpublicly traded company.
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          opposing party doesn't know who within that company is actually
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10:02AM 15
          calling the shots. Is it the person who's identified as the
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          president or CEO? Is it the COO? Is it the general counsel?
          Is it the chairman of the board of directors? You don't know.
      17
      18
          Not you, but opposing party doesn't know.
      19
                     And I don't -- I don't know that we get all deeply
10:02AM 20
          concerned about that. That's part of what one gets to do when
      21
          one has a corporation. Is that not true?
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                     MR. BERNSTEIN: As a general proposition, it is.
      23
          But in our case, we have 11 companies who actually own the
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          shell company who owns Entropic. We're not -- we're not saying
10:02AM 25
          go forever. We're -- we want those companies identified and
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          we -- should I stop?
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                     THE COURT: Yes. Sorry.
                     Mr. Alekseyeff, good to see you.
       3
                     Mr. Alekseyeff is here for the 11:00 o'clock matter.
       4
          I think we're past disclosing any names. In fact, I don't
10:03AM
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       6
          think we did at all. So can Mr. Alekseyeff stay?
       7
                     MR. SHIMOTA: I don't object, Your Honor. Entropic
          doesn't object.
       8
                      THE COURT: Mr. Alekseyeff, you're welcome to stay
10:03AM 10
          or go. The issue was, we were discussing some confidential
      11
          issues in this hearing, but I think we're done doing that. So
          you're welcome to stay.
      12
                     MR. BERNSTEIN: Just to finish the point, we don't
      13
          have to look beyond everything. We can just look at our
      14
10:03AM 15
          specific case. These 11 companies own the shell company.
      16
          They -- the -- indirectly, they own the plaintiff. These 11
      17
          who have a pecuniary interest in the outcome of the litigation,
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          they should be identified as well as anyone who owns 10 percent
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          or more of them.
10:03AM 20
                     THE COURT: Because?
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                     MR. BERNSTEIN: Because that will enable you to
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          fully vet the issue of recusal, Your Honor.
      23
                      THE COURT: Okay. All right. Thank you. I'll give
      2.4
          you a brief last word, but we'll see if Mr. Shimota --
10:04AM 25
                     Am I pronouncing your name right?
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MR. SHIMOTA: Exactly right, Your Honor. 1 2 THE COURT: Looks like you're going to argue this. MR. SHIMOTA: I am. Thank you, Your Honor. 3 you for hearing on this issue. 4 10:04AM 5 To start with, right, I mean -- so we received your 6 tentative ruling last night. What I want to be clear on here, 7 and what we've tried to be clear on from the very beginning, is that while we think our 7.1-1 disclosure was accurate, you're 8 right that this rule is very similar to the Northern District 10:04AM 10 of California's rule here. And what that rule says is 11 interests that are known. 12 And so what we provided in our 7.1 disclosure is what we knew about. And so there is -- you know, there is --13 14 what we've learned from a production in another case is that 10:04AM 15 Entropic -- there are these 11 funds that own Entropic 16 Holdings, LLC. 17 THE COURT: Well, I got to tell you, that's not 18 really very compelling. It's not a very compelling argument to 19 me, what you know. Because you certainly can know. I don't 10:05AM 20 know who you're taking your marching orders from, what human 21 being is telling you, "Yes, file this motion. Don't file that motion. Make this argument." There's somebody presumably 22 doing that. And I'm not asking you to disclose that. But the 23 2.4 point is he or she, if he or she wants to, can find out this 10:05AM 25 information.

1 MR. SHIMOTA: Getting to the point then -- so 2 cutting to the chase, I provided, you know, your ruling to --Fortress has its own counsel, who I have been in communication 3 I provided your ruling to them, Your Honor. We can --4 10:05AM 5 by the 23rd, we can certainly disclose the funds that own more 6 than 10 percent of Entropic Holdings. And we --7 THE COURT: Sounds like that would be two. MR. SHIMOTA: Two companies, right. In addition to 8 that -- the issue is, as I understand it, that -- these are 10:05AM 10 private funds. Well, let me take one step back. 11 DISH tries to paint this kind of James 12 "Bondian-like" dark story, like this web of secret Fortress. 13 If you go to the SEC website and type in "Fortress Investment 14 Group, "you'll find a Form ADV document that's 2,000 pages long 10:06AM 15 that describes exactly what Fortress does and identifies each 16 and every fund that they manage, including those that own 17 Entropic Holdings. They're listed as private funds. 18 It's a matter of public record that these public 19 funds are typically owned by pension funds, sovereign wealth funds, high net worth individuals. So the issue there, that I 10:06AM 20 21 believe we will be able to resolve, is that there are times where certain investors in these funds have confidentiality 22 23 obligations with Fortress. 24 So what Fortress's lawyers are doing at this moment is trying to make sure that in order to comply with this 10:06AM 25

1 Court's order, the tentative ruling, that it will not run afoul of any confidentiality obligations. I don't even know if there 2 is an individual or entity that owns more than 10 percent of 3 these two funds. In all likelihood, there probably isn't, the 4 10:07AM way funds are typically structured, but I can't represent that 5 6 to Your Honor. 7 But my understanding is that we would be able to advise you by next week whether there would be an issue with 8 compliance with that. Fortress will tell me, and I would 10:07AM 10 advise you. And we will -- we want to move forward and put 11 this issue behind us and move forward in the merits of the 12 case. And so we believe that we will be able to comply with 13 your order by June 23rd, your tentative ruling, to the extent 14 that it continues on. 10:07AM 15 THE COURT: Where does the -- do you know where the 16 10 percent rule comes from that's in Federal Rules of Civil Procedure 7.1? 17 18 MR. SHIMOTA: My understanding is it has to do with 19 control, Your Honor. That 10 percent is a number where people 10:07AM 20 felt that if someone owns 10 percent in a publicly held 21 corporation, that that is a sufficient amount where they would 22 be able to exercise some degree of control over the 23 corporation. And as a consequence, I think that translates 2.4 well to this case as well. 10:08AM 25 And I think your math is correct. Right? I mean,

if someone owns 10 percent of one of these funds, then they're not in a position even -- I mean, even if they wanted to -- to control anything in this case. And DISH itself concedes that for recusal purposes, indirect investments do not require this Court to recuse themselves.

And I don't want to intrude on your own personal financial dealings, but I assume that if you or a member of your family were invested in one of these Fortress funds, you likely would know it. And so I suspect that this is an issue that hopefully we can move on from.

There's a few other points, right? For example, counsel repeatedly, repeatedly called Entropic Holdings a shell corporation. There is no record evidence whatsoever that this is a shell corporation at all. None. There's the LLC agreement, which has been produced in this matter. We provided a declaration for Mr. Teksler.

There's no competing evidence whatsoever that this is a shell corporation or that there is anything nefarious going on. It is just counsel -- counsel say-so. I think there should be evidence before you start making charges of that kind, that there's -- this company is just a sham or a shell or something like that.

THE COURT: What about publicly traded entities? I mean, I'm contemplating asking for an identification of any publicly traded entities that are in any way a part of the

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          ownership of Entropic Holdings, LLC.
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                      MR. SHIMOTA: I mean, any public -- a corporation
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          that owns any percentage of any of these funds?
                      THE COURT: Well, for recusal -- yes, for recusal
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                    I think that's an interesting thing for a Court,
10:10AM
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       6
          generally, to know. I mentioned earlier the issue that arose a
       7
          few years ago where it turned out that district judges owned a
          tiny piece of entities that were litigating before them. So
       8
          using that, perhaps that would be an important thing to -- for
10:10AM 10
          plaintiff to disclose.
      11
                      If Acme Corporation has -- you know, owns 1 percent
      12
          of 1 percent of one of the private investment funds, I would
          hate to litigate this case heavily and make rulings and then
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      14
          come to find out that I own stock in Acme Corporation and Acme
          Corporation was in the corporate ownership here.
10:10AM 15
      16
                      MR. SHIMOTA: Yeah, I mean, I think no party
      17
          disputes here, at least DISH does not dispute, that an indirect
      18
          investment in a fund is not something that requires recusal.
      19
          Their briefing says that.
10:11AM 20
                      So if Acme Corporation owned 1 percent of one of
      21
          these funds that's managed by Fortress, that's the type of
      22
          indirect investment that does -- you don't control that,
          Your Honor. I mean, that's fund -- you know, things that are
      23
      2.4
          moving around. And so it wouldn't --
10:11AM 25
                      THE COURT: But I may benefit. If Acme
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          Corporation -- if you win big in this case and Acme
          Corporation, you know, enjoys significant profits because of
       2
          it, I could benefit from that, if I owned Acme Corporation and
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          Acme Corporation was in the ownership structure.
       4
10:11AM
      5
                      So is that not something that ought to be disclosed,
       6
          publicly traded entities?
       7
                     MR. SHIMOTA: I just think that that's the type of
          indirect investment that is not required to -- that would not
       8
          require your recusal.
10:11AM 10
                     THE COURT: So you think no --
      11
                     MR. SHIMOTA: I mean, so let's say, for example,
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          that there was --
      13
                     THE COURT: Hold on. I'm asking a different
      14
          question.
10:12AM 15
                     MR. SHIMOTA: Sorry. Okay.
      16
                     THE COURT: Is that a knowable -- how easily
          knowable is that for you? And are there any? I mean, you --
      17
      18
                     MR. SHIMOTA: Candidly, I don't represent Fortress
      19
          in this matter. I'm dealing with Fortress's counsel. I talked
10:12AM 20
          with them this morning. I don't know. My expectation and
      21
          belief is that there is no public corporation that is owning
      22
          any of these funds. But I simply don't know, Your Honor.
      23
                     And I think to the extent that there was a public
      24
          corporation that owned, you know, 1 percent of one of these
10:12AM 25
          funds, I think that's so attenuated that it's not -- you
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1
          know -- it's just, where does it end?
       2
                     Candidly, I think -- you know, I've seen your
       3
          disclosures, Your Honor, and you -- there's certain funds -- I
          think it's more likely that one of your funds owns one of the
       4
10:12AM
      5
          plaintiffs in this case. And we don't have a problem with
       6
          that. We don't think that that's -- or one of the defendants,
       7
          excuse me. You know, they own stock in Comcast or someone like
          that, rather than one of these funds. And we don't think
       8
          that's an issue.
10:13AM 10
                     And to even emphasize things, DISH talks a lot about
      11
          how transparency and how this -- you know, sort of the
      12
          public -- their codefendant in the consolidated part of this
          case up to Markman, DIRECTV, is owned 33 percent by a private
      13
          equity fund; right? They're very similar to a Fortress
      14
10:13AM 15
          situation.
      16
                      DISH hasn't said a single word about that. Who owns
          DIRECTV? Who owns these funds? The public needs to know to be
      17
      18
          sure about the case. It's, rather, this is just an effort --
      19
          you know, DISH is kind of -- DISH -- Mr. Bernstein's colleague,
10:13AM 20
          Ms. Tessar, last time she was here before you in court, she
      21
          wasn't talking about recusal, she was talking about discovery.
      22
                      She said, "We were seeking the ownership who owns --
      23
          who owns Entropic." And she said that "We sought this in
      24
          discovery and they stiffed us." That was her quote. And so it
10:14AM 25
          wasn't -- it's never been about ethics or recusal. I suspect
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          there's enough here for Your Honor to determine whether or not
       2
          you can ethically proceed with this case. It's always been
          about discovery and the types of -- the story they want to
       3
          weave about the nefarious Fortress and this secret web of shell
       4
10:14AM
      5
          companies.
       6
                      THE COURT: So I understood Mr. Bernstein to be
       7
          making the argument that plaintiff should be compelled to
          disclose the identity of entities that are controlling the
       8
          course of the litigation as opposed to merely funding the
10:14AM 10
          litigation and then sort of passively finding out whether
      11
          they're going to -- whether the investment is going to pay off
      12
          or not.
                      So there's -- I understood him making -- to be
      13
      14
          making a distinction between sort of passive investing and
10:14AM 15
          enjoying an upside versus actually controlling the litigation.
      16
          And those who control the litigation ought to be disclosed.
      17
                      Do you want to respond to that?
      18
                      MR. SHIMOTA: Well, as I understand the GoTV case,
      19
          the Court there said that 7.1-1 deals with recusal. So the
10:15AM 20
          Court didn't require production of, you know -- under that
      21
          rule, of the identity of the litigation funder.
      22
                      There are times where for standing purposes, for
      23
          example, you may be interested in who actually controls the
          litigation, who has, quote/unquote, all substantial rights in
      24
10:15AM 25
          this matter. There's never been any argument made that anyone,
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other than Entropic, my client, is who controls this
       1
       2
          litigation.
                      You know, Mr. Teksler provided a -- Mr. Teksler is
       3
          an executive who's worked at Apple, who's worked at HP, who's
       4
10:15AM
      5
          been CEO of lots of corporations. He's provided testimony
          under oath to this Court that he's the one running the show
       6
       7
          here. There's no evidence whatsoever in the record that
          there's some secret entity that's running the show here.
       8
                      And so -- and I agree with you 100 percent that if
10:16AM 10
          they want to make an argument and that there is a valid basis
          that there's someone else, for standing purposes, that needs to
      11
      12
          be discovered, that's a different issue, an entirely different
      13
          issue than whether or not you need to recuse yourself in this
      14
          matter.
10:16AM 15
                      You know, they can pursue that, but that doesn't
          have anything to do with the public disclosure of who owns, you
      16
      17
          know, certain private funds managed by Fortress.
      18
                      THE COURT: So I was talking more, I think, about
      19
          the champerty issue. Do you want to address that?
                      MR. SHIMOTA: Yeah. So the issue, you know, in
10:16AM 20
      21
          particular as to whether or not, you know -- for litigation
          funders typically, typically -- I don't know what the
      22
      23
          litigation funding arrangement was in the GoTV case, but they
          provide nonrecourse funds. But nevertheless, the plaintiff
      2.4
10:16AM 25
          still retains the authority to settle. They simply -- they
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1
          have full authority to resolve the case or not.
       2
                      And I don't still believe that under the rules that
          someone who has the ability to, you know, necessarily control
       3
          the case in some way has a pecuniary interest. They may. They
       4
          probably would, but I don't know.
10:17AM
      5
                      So I don't believe that under 7.1-1 that there is
       6
       7
          any requirement to disclose, you know, someone else who's in
          control of the case. But I guess as a practical matter, I
       8
          would say, Your Honor, there is no one else to disclose.
10:17AM 10
          Entropic controls Entropic's day-to-day operations.
      11
          Mr. Teksler has provided testimony. I'm sure he'd be happy to
          come here to this court, if you'd like, to say that he runs the
      12
          company and he's in control of Entropic, he makes the decisions
      13
      14
          for it.
10:17AM 15
                      THE COURT: Okav.
      16
                     MR. SHIMOTA: I don't know if you have any further
      17
          questions. Thank you for taking the time, Your Honor.
      18
                      THE COURT: No, that's it. I'll give Mr. Bernstein
      19
          a chance to reply, if he'd like to.
10:17AM 20
                      MR. BERNSTEIN: Yeah, just very briefly, Your Honor.
      21
                      So from our standpoint, their control and whether
      22
          there's a pecuniary interest, financial upside, those actually
      23
          are two separate things, either one of which would give rise to
      24
          potential recusal. And so I just wanted to clarify our
10:18AM 25
          position.
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The Local Rule 7.1-1 says if you have a pecuniary interest, identify yourself. But I think if there is -someone is actually -- like, for example, Fortress, like,
what -- they're involved in this stuff. What are they doing?
We don't know. They've identified Fortress. Right now we're
okay with this. Really our concern is with these other
companies and what's going on with these other companies. So I
just wanted to clarify that distinction.

And beyond that, I mean, I appreciate -- I mean, I hadn't thought about champerty in a long time, so I appreciate you bringing it up and giving me an opportunity to talk about it with you. Thank you, Your Honor.

THE COURT: You're welcome.

Okay. I'm going to take this motion under submission and think about it a little bit more in view of the argument, which I deeply appreciate.

Counsel, again, thank you for traveling here for this hearing. I will see you all on the 21st of July, I think for a number of proceedings, as we have discussed. I look forward to receiving the special master stipulation and declaration on the satellite case, and then hope to get that order entered. And look forward to seeing the Rule 26(f) reports and hopefully stipulation on consolidation in the MOCA and cable cases. And look forward to seeing the supplemental briefing on the 101 motion. And hopefully we can get the

10:18AM

10:18AM 10

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10:19AM 20

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           12(b)(1) and 12(b)(6) motions teed up for hearing on July 21st
       2
          as well. Again, thank you.
       3
                      Anything else? Anything we didn't cover? Am I
       4
          missing something?
      5
10:20AM
                      MR. SHIMOTA: No, Your Honor.
       6
                      MS. GOODRICH: No, Your Honor.
       7
                      THE COURT: Okay. Counsel, thank you. Have a
       8
          great -- I guess it's Friday, so have a great weekend. And
           I'll see you next time.
10:20AM 10
                      (The parties collectively responded "Thank you.")
                      THE COURTROOM DEPUTY: All rise. This Court is in
      11
      12
          recess.
      13
                         (Proceedings conclude at 10:20 A.M.)
      14
                                        --000--
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